

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT TACOMA

STELLA B. DURAN,

Plaintiff,

v.

MICHAEL J. ASTRUE, Commissioner of the
Social Security Administration,

Defendant.

CASE NO. 10cv5092JRC

ORDER

This Court has jurisdiction pursuant to 28 U.S.C. § 636(c), Fed. R. Civ. P. 73 and Local Magistrate Judge Rule MJR 13. (See also Notice of Initial Assignment to a U.S. Magistrate Judge and Consent Form, ECF No. 4; Consent to Proceed Before a United States Magistrate Judge, ECF No. 9.) This matter has been fully briefed. (See ECF Nos. 18, 31, 35.)

After considering and reviewing the record, the undersigned finds that the ALJ failed to evaluate properly the medical evidence provided by multiple treating physicians; and, failed to evaluate properly the plaintiff's credibility. Therefore, this Court REVERSES AND REMANDS

1 this case for further consideration by the Social Security Administration pursuant to sentence
2 four of 42 U.S.C. § 405(g).
3

4 BACKGROUND

5 Plaintiff was born on November 22, 1962. (Tr. 140.) She completed high school, and four
6 years of college in the Philippines. (See Tr. 41.) Records indicate that after plaintiff came to this
7 country from the Philippines in 1993, she worked every year from 1994 until 2007. (See Tr.
8 151.) She stopped working on a full-time basis on March 5, 2007, when she “fell down
9 and they took [her] to the hospital.” (Tr. 42.) According to plaintiff, “from that time on, I wasn’t
10 able to go back to work anymore.” (Id.)
11

12 PROCEDURAL HISTORY

13 Plaintiff filed an application for a period of disability and disability insurance benefits
14 under Title II of the Social Security Act (hereinafter “the Act”), 42U.S.C. § 423 (Title II), and an
15 application for Supplemental Security Income benefits under 42 U.S.C. § 1382(a), (Title XVI)
16 on April, 2007. (See Tr. 140, 147.) She alleged disability onset of February 16, 2007, but at her
17 June 4, 2009 hearing amended this date to March 5, 2007. (Tr. 42, 53.) Plaintiff’s claim was
18 denied initially on June 17, 2007 and upon reconsideration on August 30, 2007. (Tr. 80, 85.)
19

20 Plaintiff filed a timely request for hearing on September 15, 2007, and received a hearing
21 before ALJ Helen Francine Strong, (hereinafter “the ALJ”), on June 4, 2009. (Tr. 23, 23-75, 90.)
22 The ALJ received testimony from plaintiff, a vocational expert, and a medical expert. (Tr. 23-
23 75.) On July 21, 2009, the ALJ issued a written decision, finding plaintiff “not disabled.” (Tr. 21,
24 11-21.)
25

26 The ALJ made specific findings of fact and conclusions of law. (Tr. 16-21.) The ALJ
found at step one of the sequential disability evaluation that plaintiff had not engaged in

1 substantial activity since March 5, 2007, the amended onset date. At step two, the ALJ found that
2 plaintiff had the severe impairments of a history of kidney infections, lupus, and a depressive
3 disorder. (Tr. 16.) Next, the ALJ found that plaintiff had the residual functional capacity to
4 perform light work, except that she should not be exposed to extreme cold. (Tr. 17.) In making
5 this determination, the ALJ discounted medical evidence from treating medical sources Dr.
6 Robert Velasco Jr., M.D. ("little weight", Tr. 18), Dr. Philip Buenvenida, M.D. ("not given much
7 weight," Tr. 18; "scant weight," Tr. 19), and, Dr. Victoria McDuffee, Ph.D. ("considered, but
8 [discounted]" Tr. 19), in favor of a unsigned report on a State Disability Determination Service
9 form. (Tr. 19, 276-383.)
10

11 After finding that there existed jobs in the national economy in significant numbers that
12 plaintiff could perform, (Tr. 20), the ALJ concluded that plaintiff had not been under a disability
13 from March 5, 2007, through the date of the decision, July 21, 2009 (Tr. 21.)
14

15 Plaintiff's request for review by the Appeals Council (Tr. 9) was denied on January 27,
16 2010 (Tr. 1), making the July 21, 2009 written decision by the ALJ the final decision subject to
17 judicial review. On February 11, 2010, plaintiff filed a complaint against the Commissioner of
18 the Social Security Administration seeking review of the July 21, 2009 written decision of the
19 ALJ. (See ECF No. 3.)
20

21 On June 21, 2010, in her opening brief, plaintiff contends that the determination that she
22 is not disabled is not supported by substantial evidence, based on the following:

- 23 1) The ALJ failed to consider properly the medical evidence and formulated a RFC not
24 supported by the medical evidence.
- 25 2) The ALJ failed to consider properly the evidence and testimony provided by plaintiff.
- 26 3) The ALJ failed to incorporate all of plaintiff's relevant limitations into hypothetical
questions posed to the vocational expert.

1 4) The job numbers testified to by the vocational expert are not based on substantial
2 evidence.

3 (See ECF No. 18, p. 5.)

4 STANDARD OF REVIEW

5 Plaintiff bears the burden of proving disability within the meaning of the Social Security
6 Act. Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir. 1999) (*citing* Johnson v. Shalala, 60 F.3d
7 1428, 1432 (9th Cir. 1995)). The Act defines disability as the “inability to engage in any
8 substantial gainful activity” due to a physical or mental impairment “which can be expected to
9 result in death or which has lasted, or can be expected to last for a continuous period of not less
10 than twelve months.” 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). Plaintiff is disabled under the
11 Act only if plaintiff’s impairments are of such severity that plaintiff is unable to do previous
12 work, and cannot, considering plaintiff’s age, education, and work experience, engage in any
13 other substantial gainful activity existing in the national economy. 42 U.S.C. §§ 423(d)(2)(A),
14 1382c(a)(3)(B); *see also* Tackett v. Apfel, 180 F.3d 1094, 1098-99 (9th Cir. 1999).

16 Pursuant to 42 U.S.C. § 405(g), this court may set aside the Commissioner's denial of
17 social security benefits if the ALJ's findings are based on legal error or not supported by
18 substantial evidence in the record as a whole. Bayliss v. Barnhart, 427 F.3d 1211, 1214 n.1 (9th
19 Cir. 2005) (*citing* Tidwell, 161 F.3d at 601). “Substantial evidence” is more than a scintilla, less
20 than a preponderance, and is such ““relevant evidence as a reasonable mind might accept as
21 adequate to support a conclusion.”” Magallanes v. Bowen, 881 F.2d 747, 750 (9th Cir. 1989)
22 (*quoting* Davis v. Heckler, 868 F.2d 323, 325-26 (9th Cir. 1989)); *see* Richardson v. Perales, 402
23 U.S. 389, 401 (1971).
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25
26

DISCUSSION

- 1) The ALJ failed to consider properly the medical evidence and formulated a RFC not supported by the medical evidence.

“A treating physician’s medical opinion as to the nature and severity of an individual’s impairment must be given controlling weight if that opinion is well-supported and not inconsistent with other substantial evidence in the case record.” Edlund v. Massanari, 253 F.3d 1152, 1157 (9th Cir. 2001) (*citing* SSR 96-2p, 1996 SSR LEXIS 9); see also 20 C.F.R. § 416.902 (nontreating physician is one without “ongoing treatment relationship”). The decision must “contain specific reasons for the weight given to the treating source’s medical opinion, supported by the evidence in the case record, and must be sufficiently specific to make clear to any subsequent reviewers the weight the adjudicator gave to the [] opinion.” SSR 96-2p, 1996 SSR LEXIS 9.

The ALJ must provide “clear and convincing” reasons for rejecting the uncontradicted opinion of either a treating or examining physician or psychologist. Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1995) (*citing* Baxter v. Sullivan, 923 F.2d 1391, 1396 (9th Cir. 1991); Pitzer v. Sullivan, 908 F.2d 502, 506 (9th Cir. 1990)); see also Edlund v. Massanari, 253 F.3d 1152, 1158-59 (9th Cir. 2001) (“the ALJ erred in failing to meet, either explicitly or implicitly, the standard of clear and convincing reasons required to reject an uncontradicted opinion of an examining psychologist”) (*citing* Lester, *supra*, 81 F.3d at 830). Even if a treating or examining physician’s opinion is contradicted, that opinion “can only be rejected for specific and legitimate reasons that are supported by substantial evidence in the record.” Lester, *supra*, 81 F.3d at 830-31 (*citing* Andrews v. Shalala, 53 F.3d 1035, 1043 (9th Cir. 1995)). In addition, the ALJ must explain why her own interpretations, rather than those of the doctors, are correct. Reddick v. Chater, 157 F.3d 715, 831 (9th Cir. 1998) (*citing* Embrey v. Bowen, 849 F.2d 418, 421-22 (9th Cir. 1988)).

1 However, the ALJ “need not discuss *all* evidence presented.” Vincent on Behalf of Vincent v.
 2 Heckler, 739 F.2d 1393, 1394-95 (9th Cir. 1984) (per curiam). The ALJ must only explain why
 3 “significant probative evidence has been rejected.” Id. (*quoting* Cotter v. Harris, 642 F.2d 700,
 4 706-07 (3d Cir. 1981)).

5 In general, more weight is given to a treating physician’s opinion than to the opinions of
 6 those who do not treat the claimant. Lester, supra, 81 F.3d at 830. An examining physician’s
 7 opinion is “entitled to greater weight than the opinion of a nonexamining physician.” Lester,
 8 supra, 81 F.3d at 830 (citations omitted); see also 20 C.F.R. § 404.1527(d). “In order to discount
 9 the opinion of an examining physician in favor of the opinion of a nonexamining medical
 10 advisor, the ALJ must set forth specific, legitimate reasons that are supported by substantial
 11 evidence in the record.” Nguyen v. Chater, 100 F.3d 1462, 1466 (9th Cir. 1996) (*citing* Lester,
 12 supra, 81 F.3d at 831).

13 A review of the ALJ’s ruling regarding each of the physicians’ conclusions leads this
 14 Court to the conclusion that the medical evidence was not properly evaluated.

- 15 a. Dr. Robert A. Velasco Jr., M.D., treating physician, January 27, 2007 – April 18,
 16 2007.

17 Dr. Robert A. Velasco Jr., M.D., (hereinafter “Dr. Velasco”), treated and examined
 18 plaintiff on January 27, 2007 (Tr. 593); January 31, 2007 (Tr. 592); March 19, 2007 (Tr. 585);
 19 March 27, 2007 (Tr. 584); March 31, 2007 (Tr. 583); and, April 18, 2007 (Tr. 582). These
 20 examinations usually included all of the following: Dr. Velasco’s assessment of plaintiff’s
 21 subjective complaints’ completion of diagnostic tests’ Dr. Velasco’s report of objective findings
 22 following examination, his assessment and diagnoses, and, his plan to help plaintiff. (See Tr.
 23 582-85, 592-93.) On March 27, 2007, Dr. Velasco also filed out a Physical Evaluation form. (See
 24 Tr. 586-89.)

1 Dr. Velasco's opinions include references to medical opinions or treatment by other
2 physicians, including an April 18, 2007 plan that "treatment [is] ongoing / await specialist
3 recommendation prior to work VOC program," and a March 19, 2007 reference to "Dr. Andrew
4 J. Holman['s] Dx [diagnosis] [of] SLE [on] 3/13/07." (Tr. 582, 585.) Dr. Velasco's evaluations
5 include references to plaintiff's diagnosis as "Systemic Lupus E[rythematosus]" (see Tr. 581), or
6 "SLE" (See Tr. 582, 585). In his Physical Evaluation form, (see Tr. 586-89), Dr. Velasco opines
7 that plaintiff is limited due "to weakness and shortness of breath," and diagnoses plaintiff with
8 Raynaud's Syndrome in her fingers and "possible systemic Lupus E." (Tr. 588.) Dr. Velasco
9 rates both of these diagnoses as "severe," and opines that plaintiff's overall work level is
10 "severely limited." (Id.) Dr. Velasco also opines that plaintiff was not able to participate in pre-
11 employment activities, due to her "recurrent hospitalization, weakness, [and] shortness of
12 breath." (Tr. 589.)

13
14
15 Regarding the opinion evidence by Dr. Velasco, the ALJ noted: "On March 27, 2007,
16 Robert Velasquo, Jr., M.D., (sic) reported that [plaintiff] was 'severely limited' (internal citation
17 to exhibit 16F; 6-20); this assessment was not predicated on a reference to clinical findings, and
18 it is given little weight." (Tr. 18.)

19 The ALJ appears to have reviewed only the March 27, 2007 report by Dr. Velasco. The
20 ALJ fails to mention evaluations and opinions by Dr. Velasco on January 27, 2007; January 31,
21 2007; March 19, 2007; March 27, 2007; March 31, 2007; and, April 18, 2007. (See Tr. 592-93,
22 582-85). Therefore, the Court cannot assess whether the ALJ failed to review this opinion
23 evidence, or whether the ALJ rejected this other aspect of Dr. Velasco's opinion evidence
24 without comment. In either event, the matter must be remanded for further review.
25
26

1 In addition, the only reason given by the ALJ to discount Dr. Velasco's opinion was that
2 it "was not predicated on a reference to clinical findings." (Tr. 18.) As can be seen by the record,
3 the Court's previous discussion of Dr. Velasco's opinion, see supra, pp. 6-7, and the many
4 clinical findings referenced in Dr. Velasco's opinion, this conclusion by the ALJ is not supported
5 by substantial evidence in the record. As a treating physician, even if Dr. Velasco's opinion is
6 contradicted by other evidence in the record, his opinion "can only be rejected for specific and
7 legitimate reasons that are supported by substantial evidence in the record." Lester, supra, 81
8 F.3d at 830-31. Therefore, for the aforementioned reasons, the Court concludes that the ALJ
9 committed legal error in her evaluation of the medical opinion evidence supplied by Dr. Velasco.
10

11 b. Dr. Philip Buenvenida, M.D., treating physician, June 5, 2007 – April 28, 2009

12 Dr. Philip Buenvenida, M.D., (hereinafter "Dr. Buenvenida"), examined and treated
13 plaintiff on June 13, 2007. (Tr. 722-23.) He reported plaintiff's subjective complaints, including
14 "chest pain and shortness of breath" (Tr. 722); conducted a physical examination (Tr. 723); made
15 observations and assessments, and provided for a treatment plan for plaintiff (id.). Similarly, Dr.
16 Buenvenida examined and treated plaintiff on many more occasions, including on June 20, 2007
17 (Tr. 719-20); June 18, 2007 (Tr. 717-18); August 22, 2007 (Tr. 715-16); September 25, 2007 (Tr.
18 713-14); October 4, 2007 (Tr. 711-12); October 31, 2007 (Tr. 709-10); December 21, 2007 (Tr.
19 705-06); February 19, 2008 (Tr. 702-03); March 6, 2008 (Tr. 699-700); March 13, 2008 (Tr.
20 697-98); May 7, 2008 (Tr. 695-96); June 18, 2008 (Tr. 693-94); August 19, 2008 (Tr. 691-92);
21 September 18, 2008 (Tr. 689-90); November 29, 2008 (Tr. 687-88); March 10, 2009 (Tr. 682-
22 83); April 7, 2009 (Tr. 678-79); and on April 28, 2009 (Tr. 676-77). The Court has reviewed
23 these evaluations and for every single one of these examinations, Dr. Buenvenida reported
24 plaintiff's subjective complaints, conducted a physical examination, made objective observations
25 and assessments, and provided for a treatment plan for plaintiff.
26

1 The opinion of Dr. Buenvenida in his examination reports includes reports of plaintiff's
2 chest pain or other pain (Tr. 678, 682, 687, 689, 690, 691, 693, 695, 697, 699, 702, 705, 706,
3 713, 715, 717, 718, 719, 722, 720), including notations of "sharp, stabbing pain" (Tr. 682),
4 "multiple bilat[eral] pain" (Tr. 688), "pain multiple joints" (Tr. 688, 700, 712) and "groin area,
5 shoulders, neck and hands pain" (Tr. 693). Dr. Buenvenida also notes plaintiff's fatigue (Tr. 693,
6 698); dizziness (Tr. 691, 693, 714); and, vomiting (Tr. 691, 699), including "3x in one day" (Tr.
7 699). Based on his physical examination of plaintiff, Dr. Buenvenida observed tenderness and
8 swelling (Tr. 712). Finally, Dr. Buenvenida made multiple assessments and diagnoses of lupus,
9 "systemic lupus" or "SLE" (Tr. 679, 683, 686, 688, 692, 696, 698, 700, 703, 720, 725), as well
10 as depression (Tr. 683, 692, 694, 696, 700, 718).
11

12 In addition to the opinion and other medical evidence contained in Dr. Buenvenida's
13 examination reports discussed above, Dr. Buenvenida also provided his responses on a
14 Documentation Request for Medical/Disability Condition fill-in form in July, 2007; March, 2008
15 and February, 2009. In his July 18, 2007 form, Dr. Buenvenida states that plaintiff has systemic
16 lupus erythematosus, depression, and chronic back, abdominal and joint pain. (Tr. 626.) On his
17 July 18, 2007 form, he notes that plaintiff has "a progressive systemic disease that involves [her]
18 skin system, heart [and] kidney," and results in limitations on plaintiff's "lifting, pulling,
19 pushing, walking, standing, etc." (Id.) He indicates that plaintiff is unable to participate in
20 activities related to preparing for and looking for work. (Id.) Finally, in this July, 18, 2007 report,
21 Dr. Buenvenida opines that plaintiff's condition is permanent, and that she needs further
22 assessment with regards to her depression. (Tr. 627.)
23

24 In Dr. Buenvenida's March 13, 2008 evaluation form, he indicated that plaintiff has
25 systemic lupus erythematosus, depression, and chronic multiple joint points and chronic fatigue.
26

1 (Tr. 624.) He further indicated that plaintiff could spend, at most, 10 hours a week walking,
2 bending, lifting, or engaging in repetitive motion. (Id.) He also opined that she has limitations in
3 her ability to interact with people. (Id.) Regarding lifting and carrying, he opined that she was
4 limited to sedentary work. (Id.) In his March 13, 2008 evaluation form, Dr. Buenvenida again
5 concluded that plaintiff's condition was permanent. (Tr. 625.)
6

7 Finally, on Dr. Buenvenida's February 7, 2009 evaluation form, he indicated his
8 diagnoses of plaintiff's "rheumatoid arthritis and systemic lupus erthematosus," and indicated his
9 opinion that they were "severe." (Tr. 667). Dr. Buenvenida also indicated that plaintiff's overall
10 work level was "severely limited," and that she had restricted mobility, agility or flexibility
11 balancing, bending, climbing, crouching, handling, kneeling, pulling, pushing, reaching, sitting
12 and stooping. (Id.) Dr. Buenvenida indicated his objective findings based on physical
13 examination of "pain and tenderness" in plaintiff's "hands, wrists, elbows, shoulders, knees, hips
14 [and] ankles." (Tr. 666.) He observed plaintiff's "shuffling limps," and her "high tendon reflexes
15 bilat." (Id.)
16

17 Regarding Dr. Buenvenida's medical opinion evidence, the ALJ included the following
18 in her decision:

19 Philip Buenvenida, M.D., reported in July 2007 and March 2008 that [plaintiff]
20 could perform sedentary work, but she was unable to sustain work activity
21 more than 10 hours a week (internal citation to exhibit 20F). These opinions
22 were on check-box forms with only a brief statement of her impairments, and
23 no references of clinical support. Turning to Dr. Buenvenida's reports,
24 [plaintiff]'s examination did not show particularly significant findings (internal
25 citation to exhibit 25F: 19-50). These reports are likewise not given much
26 weight.

27

28 Dr. Buenvenida prepared another report in February 2009. He thought
29 [plaintiff] was 'severely limited,' unable to stand/walk or lift at least 2 pounds
30 (internal citation to exhibit 23F). This is again considered, but like his other
31 reports was a generalized series of comments without a description or clinical
32 support or other findings to support it. [Plaintiff]'s medical reports show that

1 she has normal gait, stance, motor functioning, and sensation (exhibit 22F;9),
2 inconsistent with Dr. Buenvenida's assessment. And his own treatment notes
3 show that [plaintiff] presented within normal limits without significant
4 abnormal physical findings (internal citation to exhibit 25F:1-18). His report is
5 given scant weight.

6 (Tr. 18, 19.)

7 The first reason stated by the ALJ for her rejection of the medical evidence provided by
8 Dr. Buenvenida is that his July 18, 2007 and March 13, 2008 opinions were on "check-box forms
9 with only a brief statement of her impairments, and no references of clinical support." (Tr. 18.)
10 Providing medical opinions and observations through the use of check box forms, in and of
11 itself, is not a sufficient reason to reject the opinion. Further, Dr. Buenvenida provided a number
12 of hand written notations that demonstrate he was well aware of plaintiff's condition. On Dr.
13 Buenvenida's July 18, 2007 form, he notes that plaintiff has "a progressive systemic disease that
14 involves [her] skin system, heart [and] kidney." (Tr. 626.) In addition, on all of the forms, Dr.
15 Buenvenida fills in all of the sections, often with hand-written notations included. It is unclear
16 where on these forms Dr. Buenvenida was supposed to include additional "references of clinical
17 support." As the record includes approximately fifty pages of clinical evaluations and reports by
18 Dr. Buenvenida, many of which have been discussed previously, see supra, pp. 8-10, and many
19 of which include clinical support for his opinion as reported on these "check-box" forms, this
20 conclusion by the ALJ is not supported by substantial evidence in the record as a whole. See
21 Bayliss, supra, 427 F.3d at 1214 n.1.

22 Regarding Dr. Buenvenida's February 7, 2009 evaluation form, the ALJ faulted this
23 opinion as "a generalized series of comments without a description or clinical support or other
24 findings to support it." (Tr. 19.) In his February 7, 2009 report, Dr. Buenvenida indicated his
25 objective findings were based on physical examination of "pain and tenderness" in plaintiff's
26

1 “hands, wrists, elbows, shoulders, knees, hips [and] ankles” (Tr. 666), and observed plaintiff’s
2 “shuffling limps,” and her “high tendon reflexes bilat.” (Id.) In addition, this Court has just
3 discussed a similar contention by the ALJ regarding “brief statement[s] of her impairments, and
4 no references of clinical support.” (Tr. 18; see also, supra, p. 11.) For the reasons just discussed,
5 and based on a review of the record, including the February 7, 2009 report, the Court concludes
6 that this finding by the ALJ regarding the February 7, 2009 report as “a generalized series of
7 comments without a description or clinical support or other findings to support it” (Tr. 19), is not
8 supported by substantial evidence in the record as a whole. See Bayliss, supra, 427 F.3d at 1214
9 n.1; see also, supra, p. 11.

11 The ALJ provides two other reasons to give “scant weight” to Dr. Buenvenida’s February
12 7, 2009 evaluation form report. The first is a citation to an August 14, 2007 report from
13 plaintiff’s rheumatologist that her gait was “within normal limits.” (See Tr. 638.) The second is
14 the ALJ’s conclusion that Dr. Buenvenida’s “own treatment notes show that [plaintiff] presented
15 within normal limits without significant abnormal physical findings.” (Tr. 19.) The Court
16 recognizes that plaintiff’s rheumatologist did note that on one occasion, on August 14, 2007,
17 plaintiff’s gait appeared “within normal limits”. However, as already discussed, the Court also
18 notes the existence of many “significant abnormal physical findings” throughout Dr.
19 Buenvenida’s treatment reports. (See Tr. 676 – 725; see also, supra, pp. 8-10.)

22 For these reasons, and based on a review of the relevant record, including the opinion
23 evidence by Dr. Buenvenida, the Court concludes that the ALJ’s decision to give “scant weight”
24 to Dr. Buenvenida’s February 7, 2009 report is not supported by substantial evidence in the
25 record as a whole. See Bayliss, supra, 427 F.3d at 1214 n.1.

1 Finally, the ALJ gives a single reason for not giving much weight to over twenty
 2 examination reports by Dr. Buenvenida from June 5, 2007 through April 28, 2009: "Turning to
 3 Dr. Buenvenida's reports, [plaintiff]'s examination did not show particularly significant findings
 4 (internal citation to exhibit 25F: 19-50)." The Court already has discussed these examination
 5 reports by Dr. Buenvenida, see supra, pp. 8-10. Not only does the Court find that this conclusion
 6 by the ALJ regarding Dr. Buenvenida's opinion evidence is not supported by substantial
 7 evidence in the record as a whole, but also, the Court finds that significant probative evidence in
 8 Dr. Buenvenida's opinion was not mentioned by the ALJ in her decision, such as Dr.
 9 Buenvenida's diagnoses of depression on July 18, 2007; March 6, 2008; May 7, 2008; June 18,
 10 2008; August 19, 2008; and, March 10, 2009 (Tr. 683, 692, 694, 696, 700, 718).

12 The ALJ must explain why "significant probative evidence has been rejected." See
 13 Vincent, supra, 739 F.2d at 1394-95. In addition, as a treating physician, even if Dr.
 14 Buenvenida's opinion is contradicted by other evidence in the record, his opinion "can only be
 15 rejected for specific and legitimate reasons that are supported by substantial evidence in the
 16 record." Lester, supra, 81 F.3d at 830-31. Therefore, for the aforementioned reasons, the Court
 17 concludes that the ALJ committed legal error in her evaluation of the medical opinion evidence
 18 supplied by Dr. Buenvenida.
 19

20 c. Dr. Iftikhar Chowdhry, M.D., treating physician, August 14, 2007 - September 16,
 21 2008

22 Dr. Iftikhar Chowdhry, M.D. (hereinafter "Dr. Chowdhry") examined and treated
 23 plaintiff on August 14, 2007. (Tr. 635-40.) He noted plaintiff's many subjective complaints,
 24 including bilateral hand swelling and pains; "diffuse muscle and joint aches and pains; and has
 25 been having severe fatigue and tiredness." (Tr. 636.) He also noted that plaintiff had been
 26 suffering "progressively increased musculoskeletal pains." (Id.) Dr. Chowdhry noted his

1 objective finding on examination that plaintiff “has multiple tender points o[n] her body,” (Tr.
2 638) and diagnosed plaintiff with inflammatory arthritis from “Systemic Lupus Erythematosus”
3 and depression, among other conditions. (Tr. 635.)

4 Dr. Chowdhry also examined and treated plaintiff on September 13, 2007 (Tr. 733). He
5 reviewed multiple laboratory results of plaintiff, updated her medications, including “Paxil, 40
6 mg,” and again diagnosed plaintiff with inflammatory arthritis from “Systemic Lupus
7 Erythematosus” and depression, among other conditions. (Id.) Dr. Chowdhry also examined and
8 treated plaintiff on October 11, 2007; June 9, 2008; and, September 16, 2008, and again made
9 the same diagnoses. (Tr. 629, 632, 732.)

11 Regarding Dr. Chowdhry’s medical opinion evidence, the ALJ included the following in
12 her decision:

14 [Plaintiff] had another rheumatology consult in August, 2007. She reported
15 ongoing fatigue and some edema in her extremities. She had some tender
16 points, but her examination was otherwise within normal limits. She was
17 diagnosed with lupus (SLE) with inflammatory arthritis, Raynaud’s symptoms,
18 and pleurisy (internal citation to exhibit 5F:18-20). [Plaintiff] was treated with
19 a regimen of prednisone and other medication. [Plaintiff] also had developed
20 occasional chest pain, associated with pericarditis from her lupus (internal
21 citation to exhibit 17F). This condition later resolved.

22 On August 14, 2007, [plaintiff] was examined by her treating doctor, Iftikhar
23 Chowdhry, M.D. She reported ongoing hand pain and swelling, myofacial pain
24 in various joints, and fatigue. Her examination was essentially within normal
25 limits (internal citation to exhibit 22F:9-10), suggesting that [plaintiff] was not
26 particularly limited.

(Tr. 18-19.)

23 It is not clear what weight the ALJ gives to the opinion by treating physician Dr.
24 Chowdhry. (See id.) However, based on a review of the opinion of Dr. Chowdhry, and on a
25 review of the relevant record, the Court concludes that the ALJ’s conclusion that plaintiff’s
26

1 “examination was essentially within normal limits” and the ALJ’s implication from this
 2 report “that [plaintiff] was not particularly limited” is not supported by substantial
 3 evidence in the record as a whole.

4 d. State Disability Determination Service assessment

5 Regarding state agency medical consultants, the ALJ is “required to explain in h[er]
 6 decision the weight given to such opinions.” Sawyer v. Astrue, 303 Fed. Appx. 453, 455, 2008
 7 U.S. App. LEXIS 27247 at **3 (9th Cir. 2008) (citations omitted) (unpublished opinion) (“[t]he
 8 ALJ’s failure to consider the opinions of state agency consultants Salinas and Dr. Pritchard is not
 9 harmless the error here is directly relevant to the ultimate issue”). According to Social
 10 Security Ruling (hereinafter “SSR”) 96-6p, “[a]dministrative law judges **must** explain the
 11 weight given to the opinions [of state agency medical consultants] in their decisions.” SSR 96-
 12 6p, 1996 WL 374180 at *2 (emphasis added).

13
 14 In her decision, the ALJ included the following:

15
 16 The State Disability Determination Service (DDS) reviewed [plaintiff’s] record
 17 and concluded that she could perform a full range of light work, with a need to
 18 avoid exposure to extreme cold (internal citation to exhibit 6F). This assessment
 appears consistent with the medical record discussed above.

19 (Tr. 19.) Although it is clear that the ALJ views this assessment favorably, it is not clear what
 20 specific weight it was given by the ALJ. An ALJ is “required to explain in h[er] decision the
 21 weight given to such opinions,” and her failure to do so here is legal error. Sawyer, supra, 303
 22 Fed. Appx. at 455, 2008 U.S. App. LEXIS 27247 at **3; see also SSR 96-6p, 1996 WL 374180
 23 at *2.

24 In addition, it is clear that this particular assessment should not be given much weight, if
 25 any at all. First, it is unsigned. (See Tr. 383.) It is difficult to evaluate an opinion when one does
 26 not know if that opinion is offered by a medical doctor (M.D.), a clinical psychologist, (B.A.,

1 B.S., Psy.D., or Ph.D.) a doctor in psychology (Ph.D.) or perhaps an assistant without any
 2 medical training at all. Secondly, this assessment appears to have been prepared on June 18,
 3 2007, without the benefit of much of the medical evidence already discussed by the Court. See
 4 supra, sections 1., b (Dr. Buenvenida, June 5, 2007 – April 28, 2009); and, 1., c (Dr. Chowdhry,
 5 August 14, 2007 - September 16, 2008). Finally, this un-attributed assessment includes the
 6 conclusion that plaintiff “does not display a full Dx [diagnosis] for SLE.” (Tr. 383.)
 7

8 While this Court already has noted that this un-attributed conclusion regarding the lack of
 9 a full diagnosis of SLE is made without the benefit of much of the medical evidence already
 10 discussed, see supra, sections 1., b and c, the Court also notes that it was made with the benefit of
 11 Dr. Velasco’s report, also discussed above, see supra, section 1., a. Dr. Velasco’s report includes
 12 multiple references to plaintiff’s diagnosis as “Systemic Lupus E[rythematosus]” (Tr. 581), or
 13 “SLE” (Tr. 582, 585), and specifically indicates that “Dr. Andrew J. Holman Dx [diagnosed]
 14 SLE [on] 3/13/07” (Tr. 585). Based on the aforementioned reason, and based on a review of the
 15 relevant record, the Court concludes that the ALJ’s finding regarding the assessment by the State
 16 Disability Determination Service being “consistent with the medical record” is not supported by
 17 substantial evidence in the record as a whole.
 18

19 e. Dr. Victoria McDuffee, Ph.D., examining licensed clinical psychologist (February 12,
 20 2009)

21 The ALJ “has an independent ‘duty to fully and fairly develop the record.’” Tonapetyan
 22 v. Halter, 242 F.3d 1144, 1150 (9th Cir. 2001) (*quoting* Smolen v. Chater, 80 F.3d 1273, 1288
 23 (9th Cir. 1996)). The ALJ’s “duty exists even when the claimant is represented by counsel.”
 24 Brown v. Heckler, 713 F.2d 441, 443 (9th Cir. 1983) (per curiam) (*citing* Driggins v. Harris, 657
 25 F.2d 187, 188 (8th Cir. 1981)). This duty is “especially important when plaintiff suffers from a
 26 mental impairment.” Delorme v. Sullivan, 924 F.2d 841, 849 (9th Cir. 1991)). This is “[b]ecause

1 mentally ill persons may not be capable of protecting themselves from possible loss of benefits
2 by furnishing necessary evidence concerning onset.” Id. (quoting Social Security Regulation 83-
3 20). However, the ALJ's duty to supplement the record is triggered only if there is ambiguous
4 evidence or if the record is inadequate to allow for proper evaluation of the evidence. Mayes v.
5 Massanari, 276 F.3d 453, 459-60 (9th Cir. 2001); Tonapetyan, 242 F.3d at 1150.
6

7 On February 12, 2009, Dr. Victoria McDuffee, Ph.D., licensed clinical psychologist,
8 (hereinafter “Dr. McDuffee”) conducted a thorough Mental Status Examination of plaintiff. (Tr.
9 669-675.) Dr. McDuffee noted that plaintiff’s medical history included diagnoses of “systemic
10 lupus, depression, Raynauds Disease [and] arthritis.” (Tr. 669.) Dr. McDuffee also noted
11 plaintiff’s subjective complaints of “severe pain daily low motivation, hopelessness,
12 pessimism, low motivation, loss of interest/pleasure, increased sleep, [] fear, [p]assive suicidal
13 ideation w[ith] plan but no intent [because] living with her son [] prevents her from hurting
14 herself.” (Id.)
15

16 Dr. McDuffee conducted multiple objective tests and examinations of plaintiff in order to
17 assess plaintiff’s mental status. (Tr. 674.) Plaintiff demonstrated some limitation in cognitive
18 areas of functioning, such as impaired calculation, reflecting an impaired ability to concentrate;
19 as well as impaired memory when attempting to complete immediate recall tasks and impaired
20 memory when attempted to complete tasks requiring recent memory skills, reflecting overall
21 impaired memory abilities. (Tr. 672, 674.) Plaintiff also had thoughts about suicide, reflecting
22 impaired thought content. However, plaintiff also demonstrated normal abilities in some areas of
23 cognitive functioning, including her perception ability; her ability to orient to where she was
24 located and to other persons in the room; and, her ability to exercise judgment and make
25
26

1 decisions. (Tr. 672, 674.) Therefore, Dr. McDuffee assessed the cognitive aspects of plaintiff's
2 mental ability as "no cognitive impairment." (Tr. 671, 672, 674.)

3 Plaintiff did not do as well on the behavioral and social aspects of her Mental Status
4 Examinations and tests. (See Tr. 671, 672, 674.) Plaintiff's speech patterns were slow and soft,
5 and she exhibit retarded and slumped psychomotor movement and posture. (Tr. 674.) Plaintiff
6 was tearful, depressed and reported "avoiding her friends, remaining in bed all day." (Tr. 672,
7 674.) Plaintiff was unable to explain proverbs, suggesting an impaired ability in abstract
8 reasoning. (Tr. 674.) Based on Dr. McDuffee's objective observations, as well as the results of
9 plaintiff's tests and examinations, Dr. McDuffee assessed plaintiff's depression as "severe," the
10 highest level of impairment. (Tr. 671, 674.) Dr. McDuffee opined that plaintiff's limitations in
11 her ability to relate appropriately to co-workers and supervisors was severe; her limitations in her
12 ability to interact appropriately in public contacts was severe; her ability to respond appropriately
13 to and tolerate the pressures and expectations of a normal work setting was severe; and, her
14 ability to control physical or motor movements and maintain appropriate behavior was severe.
15 (Tr. 672.) Dr. McDuffee rated plaintiff's Global Assessment of Functioning ("GAF") at 35. (Id.)

16 Dr. McDuffee diagnosed plaintiff with major depressive disorder, severe; generalized
17 anxiety disorder; and pain disorder. (Tr. 671.) Dr. McDuffee concluded that plaintiff's functional
18 impairments would last for at least a year; that plaintiff's prognosis was "poor;" and that plaintiff
19 likely would be unable to return to work. (Tr. 673, 675.)

20 On May 1, 2009, plaintiff provided the ALJ with Dr. McDuffee's opinion report and
21 asked for a finding of full disability. (See May 1, 2009 letter from plaintiff to the ALJ, Appendix
22 B to Plaintiff's Opening Brief, ECF No. 18, pp. 28-30.) On June 4, 2009, at plaintiff's hearing,
23 plaintiff asserted disability on the basis of both physical and mental conditions, including major
24
25
26

1 depression. (Tr. 36-37.) The ALJ addressed her “concern” regarding “mental impairments,” and
 2 stated that she had concerns about “durational problems.” (Tr. 37.) The ALJ stated that she had
 3 been viewing the case as one “involving physical impairments.” (*Id.*) The ALJ referenced the
 4 February 12, 2009 report by Dr. McDuffee and further stated:

5 I would certainly be concerned - - I want to see a little underlying or
 6 foundational documentary on this, too. I don't know whether that was the GAF
 7 score that she had on just one day I'm a little concerned about your
 8 introducing this from the mental side, because, again, this is something that we
 9 have not seen previously in the record, nor has DDS had an opportunity to
 10 evaluate this case.”

11 (Tr. 37-38.)

12 Regarding Dr. McDuffee's opinion and the medical evidence regarding plaintiff's mental
 13 abilities, the ALJ concluded that “in activities of daily living and social functioning, [plaintiff]
 14 has mild difficulties” (Tr. 17), and also included the following:

15 [Plaintiff]'s medical record also shows some diagnoses of depression (internal
 16 citation to exhibit 22F). Her treating doctor found no cognitive impairment
 17 (internal citation to exhibit 22F;1). Victoria McDuffee, Ph.D., performed a
 18 psychological assessment of [plaintiff] on February 13, 2009. Dr. McDuffee
 19 diagnosed a depressive disorder, anxiety disorder, and pain disorder with
 20 marked limitations in social functioning and performing more than simple
 21 tasks (internal citation to exhibit 24F). This report is considered, but
 22 [plaintiff]'s social limitations were apparently based on subjective reports; her
 23 presentation was slightly depressed and slowed, but her functioning was
 24 otherwise intact and her mini mental status examination showed only a mild
 25 cognitive limitation (internal citation to exhibit 24F;6). To be fair, [plaintiff]
 26 apparently had some recall problems, and a restriction to simple, repetitive
 tasks may be appropriate. [Plaintiff] has reported social activity with shopping,
 and asserted that she got along with others (internal citation to exhibits 8E; 7;
 9E). She has no social restrictions.

(Tr. 19.)

27 The Court already has discussed Dr. McDuffee's report, containing, among other things,
 28 notations of plaintiff's subjective complaints; the results of examinations conducted by Dr.
 29 McDuffee; Dr. McDuffee's objective evaluations and observations; as well as Dr. McDuffee's
 30 assessments based on the subjective and objective evidence. See supra, section 1., e. For

1 example, the Court already noted that Dr. McDuffee assessed plaintiff as exhibiting slow and
2 soft speech patterns, and retarded and slumped movement and posture. (See also Tr. 674.) The
3 Court also notes that “experienced clinicians attend to detail and subtlety in behavior, such as the
4 affect accompanying thought or ideas, the significance of gesture or mannerism, and the
5 unspoken message of conversation. The Mental Status Examination allows the organization,
6 completion and communication of these observations.” Paula T. Trzepacz and Robert W. Baker,
7 The Psychiatric Mental Status Examination 3 (Oxford University Press 1993). “Like the physical
8 examination, the Mental Status Examination is termed the *objective* portion of the patient
9 evaluation.” Id. at 4 (emphasis in original). In addition, the report here by Dr. McDuffee includes
10 a note to the evaluator, instructing her to “[b]ase the degree of limitation on reports by the
11 individual and others concerning behavior over the past month and interpretation of appropriate
12 tests, along with your own observations during the interview.” (Tr. 672.)
13
14

15 Therefore, the Court concludes that the ALJ’s finding that plaintiff’s “social limitations
16 were apparently based on subjective reports,” inappropriately minimizes the objective
17 observations of Dr. McDuffee as well as the objective tests and examinations she conducted, and
18 implies that Dr. McDuffee did not know how to conduct a Mental Status Examination or how to
19 follow the instructions on the form. It also may reflect a potential misunderstanding on the part
20 of the ALJ regarding the Mental Status Examination, as discussed further below, see infra, pp.
21 21-23.
22

23 In addition, the ALJ characterizes Dr. McDuffee’s report in part by stating that “Dr.
24 McDuffee diagnosed a depressive disorder, anxiety disorder, and pain disorder with marked
25 limitations in social functioning and performing more than simple tasks.” (Tr. 19.) However,
26 nowhere in Dr. McDuffee’s report did she opine that plaintiff suffered any “marked” limitations

1 in social functioning. (See Tr. 669-675.) Rather, Dr. McDuffee repeatedly concluded that
2 plaintiff suffered “severe” limitations in social functioning, finding her more limited than stated
3 by the ALJ, including the four specific areas discussed by the Court above, see supra, p. 18. (Tr.
4 671, 672.) This conclusion by the ALJ regarding Dr. McDuffee’s report clearly is erroneous.

5
6 Finally, the ALJ discounts limitations resulting from plaintiff’s behavioral and social
7 impairments, with multiple references to plaintiff’s “only [] mild” cognitive limitations or “no
8 cognitive impairment.” (Tr. 19.) This suggests a misunderstanding on the part of the ALJ
9 regarding how to interpret the results of the Mental Status Examination, and also demonstrates
10 why such examinations generally are conducted by medical professionals skilled and
11 experienced in psychology and mental health. Although “anyone can have a conversation with a
12 patient, [] appropriate knowledge, vocabulary and skills can elevate the clinician’s ‘conversation’
13 to a ‘mental status examination’.” Trzepacz, supra, The Psychiatric Mental Status Examination 3.
14 A mental health professional is trained to observe patients for signs of their mental health not
15 rendered obvious by the patient’s subjective reports, in part because the patient’s self-reported
16 history is “biased by their understanding, experiences, intellect and personality” (id. at 4), and, in
17 part, because it is not uncommon for a person suffering from a mental illness to be unaware that
18 her “condition reflects a potentially serious mental illness.” Van Nguyen v. Chater, 100 F.3d
19 1462, 1465 (9th Cir. 1996).
20

21
22 The Mental Status Examination conducted by Dr. McDuffee contained two aspects:
23 cognitive and behavioral. (See Tr. 674.) This Court assumes that this distinction is not arbitrary:
24 [In my opinion, this section seems to be more a medical opinion than is necessary to reach a
25 legal conclusion.] Dr. McDuffee, a trained clinical psychologist, opined that although plaintiff
26 suffered from “no cognitive impairment,” she nevertheless suffered from “severe” depression,

1 disabling her from work. When an ALJ seeks to discredit a medical opinion she must explain
2 why her own interpretations, rather than those of the doctors, are correct. Reddick, supra, 157
3 F.3d at 831. Here, to diminish the importance of Dr. McDuffee's opinion regarding plaintiff's
4 social or behavioral limitations on the basis that plaintiff suffers from "only [] mild" cognitive
5 limitations or "no cognitive impairment," is not a sufficient explanation as to why the ALJ's
6 interpretation over that of Dr. McDuffee, is correct. (Tr. 19.) In addition, the Court already has
7 noted the multiple diagnoses in the record by plaintiff's treating physicians regarding plaintiff's
8 depression, consistent with the medical opinion of Dr. McDuffee. See supra, sections 1., b-c. Dr.
9 Buenvenida made multiple assessments and diagnoses of depression (Tr. 683, 692, 694, 696,
10 700, 718), as did Dr. Chowdhry (Tr. 629, 632, 635, 732, 733).

12 If an ALJ finds that the medical evidence regarding a mental impairment is ambiguous or
13 if the record is inadequate to allow for proper evaluation of the evidence, the ALJ's duty to
14 supplement the record is triggered. Mayes, supra, 276 F.3d at 459-60; see also, Tonapetyan,
15 supra, 242 F.3d at 1150. In this instance, as the ALJ made a conclusion regarding plaintiff's
16 mental impairments that differed from that of Dr. McDuffee, the only qualified mental health
17 professional to render any medical opinion on the issue, and one that also differed from
18 plaintiff's treating physicians, she had a duty to develop the record, and rely on additional
19 medical evidence, not her own assessment of the medical evidence. The ALJ's evaluation of
20 plaintiff's mental health, and her evaluation of the opinion evidence provided by Dr. McDuffee,
21 was not supported by substantial evidence in the record as a whole.

24 For all of the above mentioned reasons, the Court concludes that the ALJ did not evaluate
25 properly the medical evidence.

1 2) The ALJ failed to consider properly the evidence and testimony provided by plaintiff.

2 If the medical evidence in the record is not conclusive, sole responsibility for resolving
3 conflicting testimony and questions of credibility lies with the ALJ. Sample v. Schweiker, 694
4 F.2d 639, 642 (9th Cir. 1999) (*quoting* Waters v. Gardner, 452 F.2d 855, 858 n.7 (9th Cir. 1971)
5 (*citing* Calhoun v. Bailar, 626 F.2d 145, 150 (9th Cir. 1980))). Nevertheless, the ALJ's
6 credibility determinations "must be supported by specific, cogent reasons." Reddick, *supra*, 157
7 F.3d at 722 (*citing* Andrews v. Shalala, 53 F.3d 1035, 1039 (9th Cir. 1995)). If an ALJ discredits
8 a claimant's subjective symptom testimony, the ALJ must articulate specific reasons for doing so.
9 Greger v. Barnhart, 464 F.3d 968, 972 (9th Cir. 2006). In evaluating a claimant's credibility, the
10 ALJ cannot rely on general findings, but "must specifically identify what testimony is credible
11 and what evidence undermines the claimant's complaints." *Id.* at 972 (*quoting* Morgan v.
12 Comm'r of Soc. Sec. Admin., 169 F.3d 595, 599 (9th Cir. 1999)); Reddick, 157 F.3d at 722
13 (citations omitted); Smolen v. Chater, 80 F.3d 1273, 1284 (9th Cir. 1996) (citations omitted).
14 The ALJ may consider "ordinary techniques of credibility evaluation," including the claimant's
15 reputation for truthfulness, inconsistencies in testimony, daily activities, and "unexplained or
16 inadequately explained failure to seek treatment or to follow a prescribed course of treatment."
17 Smolen, 80 F.3d at 1284. The decision of the ALJ should "include a discussion of why reported
18 daily activity limitations or restrictions are or are not reasonably consistent with the medical and
19 other evidence." SSR 95-5p 1995 SSR LEXIS 11. "[I]f a claimant 'is able to spend a *substantial*
20 *part* of her day engaged in pursuits involving the performance of physical functions that are
21 transferable to a work setting, a specific finding as to this fact may be sufficient to discredit a
22 claimant's allegations." Vertigan v. Halter, 260 F.3d 1044, 1049 (9th Cir. 2001) (*quoting*
23 Morgan, 169 F.3d at 600).

1 The determination of whether to accept a claimant's testimony regarding subjective
2 symptoms requires a two-step analysis. 20 C.F.R. §§ 404.1529, 416.929; Smolen, 80 F.3d at
3 1281 (*citing* Cotton v. Bowen, 799 F.2d 1403 (9th Cir. 1986)). First, the ALJ must determine
4 whether there is a medically determinable impairment that reasonably could be expected to cause
5 the claimant's symptoms. 20 C.F.R. §§ 404.1529(b), 416.929(b); Smolen, 80 F.3d at 1281-82.
6 Once a claimant produces medical evidence of an underlying impairment, the ALJ may not
7 discredit the claimant's testimony as to the severity of symptoms “based solely on a lack of
8 objective medical evidence to fully corroborate the alleged severity of pain.” Bunnell v. Sullivan,
9 947 F.2d 341, 343 (9th Cir. 1991) (*en banc*) (*citing* Cotton, 799 F.2d at 1407). Absent
10 affirmative evidence that the claimant is malingering, the ALJ must provide “clear and
11 convincing” reasons for rejecting the claimant's testimony. Smolen, 80 F.3d at 1283-84;
12 Reddick, 157 F.3d at 722 (*citing* Lester v. Chater, 81 F.3d 821, 834 (9th Cir. 1996); Swenson v.
13 Sullivan, 876 F.2d 683, 687 (9th Cir. 1989)).
14
15

16 The ALJ found that plaintiff had the residual functional capacity to “perform light work .
17 . . . except that she should not be exposed to extreme cold. She can perform simple, repetitive
18 tasks.” (Tr. 17.) Regarding plaintiff’s credibility, the ALJ included the following in her written
19 decision:
20

21 After careful consideration of the evidence, the undersigned finds that
22 [plaintiff]’s medically determinable impairments could reasonably be expected
23 to cause some symptoms; however, [plaintiff]’s statements concerning the
24 intensity, persistence and limiting effects of these symptoms are not credible to
25 the extent they are inconsistent with the above residual functional capacity
26 assessment.

(Tr. 18.) After giving “little weight” and “scant weight” to the opinions of her treating
physicians (Tr. 18, 19), the ALJ then continues to discuss plaintiff’s credibility:

1 Although [plaintiff] described daily activities that are quite limited, consistent
2 with bed confinement (internal citation to exhibit 9E), other factors weigh
3 against considering these allegations to be strong evidence in favor of finding
4 [plaintiff] disabled. First, allegedly limited daily activities cannot be
5 objectively verified with any reasonable degree of certainty. Secondly, even if
6 her daily activities were as limited as alleged, it is difficult to attribute that
7 degree of limitation to [plaintiff]'s medical condition, as opposed to other
8 reasons, in view of the relatively weak medical evidence and other factors
9 discussed in this decision. Overall, [plaintiff]'s reported daily activities are
10 outweighed by other, more persuasive, evidence in the record.

11 (Tr. 19.)

12 The first reason given by the ALJ in support of her conclusion that "other factors weigh
13 against considering [plaintiff's] allegations to be strong evidence in favor of finding [plaintiff]
14 disabled" was that "allegedly limited daily activities cannot be objectively verified with any
15 reasonable degree of certainty." (Id.) This is a statement of general fact that bears only a small
16 amount of relevance to plaintiff's credibility in this specific case: simply because a fact cannot
17 be verified objectively provides little evidence to support the conclusion that the individual is not
18 being truthful about such fact in any particular instance. This is especially true when, as in this
19 case, the Medical Expert acknowledged plaintiff's "abnormal laboratory tests, which are quite
20 real, and giving quite a degree of concern to the doctors taking care of her," and agreed that
21 plaintiff's laboratory reports "support . . . that she has a significant connective tissue or a
22 rheumatic type disorder; in this case, lupus. Yes, she's got it." (See Tr. 63.)

23 The second reason given by the ALJ was that "it is difficult to attribute that degree of
24 limitation to [plaintiff]'s medical condition, as opposed to other reasons, in view of the relatively
25 weak medical evidence and other factors discussed in this decision." (Id.) First, the Court notes
26 that the ALJ does not specify what "other reasons" to which one can attribute the degree of
limitation plaintiff alleges that she suffers. Attributing the degree of limitation plaintiff alleges to
"other reasons," when those "other reasons" are not specified, provides no support for the ALJ's

1 adverse credibility finding. Similarly, the “other factors discussed in this decision” cannot
2 support an adverse credibility finding as they also are unspecified.

3 The remaining support for the ALJ’s conclusion regarding her credibility determination is
4 the “the relatively weak medical evidence.” (Tr. 19.) The Court already had determined that the
5 ALJ did not evaluate the medical evidence properly. See supra, section 1. Therefore, this
6 improper evaluation cannot support an adverse credibility finding.
7

8 Finally, the ALJ concludes that “[o]verall, [plaintiff]’s reported daily activities are
9 outweighed by other, more persuasive, evidence in the record.” (Tr. 19.) But, again, the ALJ fails
10 to identify what “other, more persuasive, evidence in the record” supports her conclusion
11 discounting plaintiff’s reported daily activities. The Court also notes that the ALJ failed to
12 mention in this context that records demonstrate that plaintiff worked every year after coming to
13 the United States, from 1994 until 2007. (See Tr. 151.) This factor weighs in favor of plaintiff’s
14 credibility in this case.
15

16 For the reasons just discussed, the Court concludes that the ALJ did not provide clear and
17 convincing reasons to reject plaintiff’s testimony. When there is no evidence that a claimant is
18 malingering, as is the case here, the ALJ must provide “clear and convincing” reasons for
19 rejecting the claimant's testimony. Smolen, supra, 80 F.3d at 1283-84; Reddick, supra, 157 F.3d
20 at 722.
21

- 22 3) The ALJ failed to incorporate all of plaintiff’s relevant limitations into hypothetical
23 questions posed to the vocational expert.

24 Since this case must be remanded in order to allow for a proper review of the medical
25 evidence and plaintiff’s testimony, if the ALJ reaches this step in the sequential disability
26 evaluation following further consideration on remand, the ALJ will need to reconsider the issue
of plaintiff’s limitations.

1 4) The job numbers testified to by the vocational expert are not based on substantial
2 evidence.

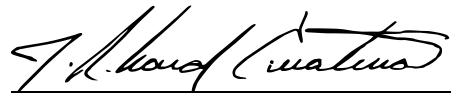
3 Likewise, as this case must be remanded in order to allow for a proper review of the
4 medical evidence and plaintiff's testimony, if the ALJ reaches step five in the sequential
5 disability evaluation following remand, the ALJ will need to evaluate anew this issue, as well.

6 CONCLUSION

7 After considering and reviewing the record, the undersigned finds that the ALJ failed to
8 evaluate properly the medical evidence and failed to evaluate properly plaintiff's credibility.
9 Therefore, following remand, the ALJ should begin at step three of the sequential disability
10 evaluation.
11

12 For the aforementioned reasons, the Court hereby REVERSES AND REMANDS this
13 case for further consideration by the Social Security Administration pursuant to sentence four of
14 42 U.S.C. § 405(g).

15 Dated this 29th day of March, 2011.

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17 

18 J. Richard Creatura
19 United States Magistrate Judge
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